

# Stock & Option Solutions

We specialize in equity compensation human capital solutions

## 6039 REPORTING SOLUTIONS

Manage your company's risk | Save precious time at year-end | Enjoy peace of mind

Since the dawn of 6039 in 2010 we have kept hundreds of companies in compliance. We have delivered over a million participant statements; always on time and always audited for accuracy. We offer a full-service solution. You'll have access to a dedicated Project Manager, Data Auditors and all-things-equity Subject Matter Experts.

### Client-cheerleaders:

*"Professional, timely and all contacts were a pleasure to work with."* – The Medicines Company

*"I am very pleased with the level of service. Each year, the process is organized and efficient and everything goes smoothly. Great job!"* -Axcelis Technologies, Inc.

*"A very well streamlined process with excellent support and guidance from kick off to filing. Thank you!"* – Celldex Therapeutics, Inc

### 6039 Reporting - Features:

- **Dedicated Project Manager** – Coordinates the project from start to finish.
- **Sensitive Participant Data** – Secure and easy file transfer.
- **Data audits** – Our rigorous audits ensure errors are corrected before statements are mailed or data is filed with the IRS.
- **Aggregated Transactions** – Our 3921 and 3922 Substitute Statements allow us to display multiple transactions onto a single statement. This allows us to mail fewer pages per participant - saving time and money - and reduce participant confusion.
- **IRS E-Filing** – We can submit the file to the IRS on your behalf (eliminating the need for you to obtain a TCC from the IRS). We can also transmit the E-File to you to submit via the IRS FIRE website.
- **Data Control at Project Completion** – Our process includes data destruction and applicable shredding.
- **Subsidiaries and "Box 6"** – We will isolate subsidiaries with ISO transactions and ensure Box 6 on Form 3921 is properly reflected and reported.
- **Unique Account Numbers Created (for Corrections)** – Our 6039 application assigns a unique account number to each transaction. This allows us to identify transactions that need to be corrected after the E-file is submitted to the IRS.

We have a solution for any unique challenge Section 6039 poses for your company. Please contact us at 408-979-8700 or [info@sos-team.com](mailto:info@sos-team.com), for more information on our 6039 Solutions and to obtain a free proposal with pricing information.

### About Stock & Option Solutions

Stock & Option Solutions is the only true stock plan outsourcing firm in the equity compensation marketplace. We deliver a team-based approach to filling the seat in your department that takes away the burden of hiring, managing, retaining and replacing a stock administrator.

WHY WAIT?  
CALL US TODAY.

408.979.8700  
[www.sos-team.com](http://www.sos-team.com)

